PTO/SB/08a (06-03) Approved for use through 07/31/2003 OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE quired to respond to a collection of information unless it contains a valid OMB control number

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Complete if Known					
Application Number 10/715,150					
Filing Date	November 17, 2003				
First Named Inventor	Lilip Lau				
Art Unit	Unassigned				
Examiner Name	Unassigned				
Attomey Docket Number	PARCR 67359				

	Cite	Documen	Document Number		Name of Patentee or	Pages, Columns, Lines, When
	No'	Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevan Figures Appear
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO, Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO	Application Number .	10/715/150	
INFORMATION DISCLOSURE	Filing Date	November 17, 2003	
STATEMENT BY APPLICANT	First Named Inventor	Lilip Lau	
STATEMENT DI APPLICANT	Group Art Unit	Unassigned	
(use as many sheets as necessary)	Examiner Name	Unassigned	
Sheet 2 of 2	Attorney Docket Number	PARCR 67359	

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PTO/SB/08a (06-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number 10/715,150						
Filing Date	November 17, 2003					
First Named Inventor	Lilip Lau					
Art Unit	Unassigned					
Examiner Name	Unassigned					
Attorney Docket Number	PARCR 67359					

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Examiner Initials*	Cite No ¹	Number Document	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Substitute for form 1449A/PTO

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Complete if Known					
Application Number	10/715,150				
Filing Date	November 17, 2003				
First Named Inventor	Lilip Lau				
Art Unit	Unassigned				
Examiner Name	Unassigned				
Attorney Docket Number	PARCR 67359	_			

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					First Named I	nventor	Lilip Lau		
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	C	omplete if Known
Substitute for form 1449A/PTO	Application Number	10/715,150
INFORMATION DISCLOSURE	Filing Date	November 17, 2003
STATEMENT BY APPLICANT	First Named Inventor	Lilip Lau
STATEMENT BY APPLICANT	Art Unit	Unassigned
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Sheet 3 of 14	Attorney Docket Number	PARCR 67359

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Examiner Initials*	Cite No ¹	Number Docum	Ent Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Examiner	Cite	Foreign Patent Document			Publication Date	Name of Patentee or	Pages, Columns, Lines	
Initials*	Office	Office ³	Number ⁴	Kind ³ (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T _e
AD.		PCT	WO 96/40356		12/19/96	EP Technologies, Inc.	1	
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Substitute for form 1449A/P	10 .	Application Number	10/715,150		
INFORMATI	ON DISCLOSURE	Filing Date	November 17, 2003		
	Γ BY APPLICANT	First Named Inventor	Lilip Lau		
STATEMEN	I BY APPLICANT	Art Unit	Unassigned		
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Sheet 4	of 14	Attorney Docket Number	PARCR 67359		

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Complete if Known Substitute for form 1449A/PTO 10/715,150 Application Number November 17, 2003 Filing Date INFORMATION DISCLOSURE First Named Inventor Lilip Lau STATEMENT BY APPLICANT Art Unit Unassigned Unassigned **Examiner Name** (use as many sheets as necessary) PARCR 67359 Sheet of | 14 Attorney Docket Number

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Initials*	Office	Office ³	Number ⁴	Kind ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	1
3/2		PCT	WO 00/06028		02/10/00	Mortier, et al.		
		PCT	WO 00/13722		03/16/00	SciMed Life Systems		
		PCT	WO 00/16700		03/30/00	Myocor, Inc.		
7		PCT	WO 00/18320		04/06/00	Univ. of Cincinnati		
		PCT	WO 00/28912		05/25/00	Acom Cardiovascular		
		PCT	WO 00/28918		05/25/00	Acorn Cardiovascular		
1		PCT	WO 00/42919		07/25/00	Buckberg et al.		
		PCT	WO 00/45735		08/10/00	Acom Cardiovascular		
An		PCT	WO 00/48795		08/24/00	Richebourg	T	

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6 5-11	. f. f. 1440.4 /PTO		Complete if Known			
Sapstiti	ute for form 1449A/PTO		Application Number	10/715,150		
1 т	NFORMATION D	ISCLOSURE	Filing Date	November 17, 2003		
	STATEMENT BY		First Named Inventor	Lilip Lau		
,	STATEMENT DI	ALLECANI	Art Unit	Unassigned		
	(use as many sheets a	as necessary)	Examiner Name	Unassigned		
Sheet 6 · of 14		Attorney Docket Number	PARCR 67359			

			U.S	PATENT DOCUMEN	NTS	
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Examiner	Cite	Foreign Patent Document		Publication Date	Name of Patentee or	Pages, Columns, Lines		
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)AU		PCT	WO 00/62727		10/26/00	Hearten Medical		-
		PCT	WO 00/74769		12/14/00	Johns Hopkins Univ.		
		PCT	WO 01/17437		03/15/01	Cardiothoracic Systems		1
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Substitute for form 1449A/PTO	Application Number	10/715,150		
INFORMATION DISCLOSURE	Filing Date	November 17, 2003		
STATEMENT BY APPLICANT	First Named Inventor	. Lilip Lau		
STATEMENT BY APPLICANT	Art Unit	Unassigned		
(use as many sheets as necessary)	Examiner Name	Unassigned		
Sheet 7 of 14	Attorney Docket Number	PARCR 67359		

			U.S.	PATENT DOCUMEN	NTS	
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NO.		PCT	WO 01/95831		12/20/01	Acom Cardiovascular				
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		PCT	WO 02/19917		03/14/02	Converge Medical				
		PCT	WO 02/059007		08/01/02	SnapWare Corp.				
		PCT	WO 03/026483		04/03/03	The Foundry, Inc.				
10.		PCT	WO 03/026485		04/03/03	The Foundry, Inc.				
ALC:		PCT	WO 03/026484		04/03/03	The Foundry, Inc.				

Examiner Signature	Gilbert	Date Considered	9/	16/	06	

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

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Application Number 10/715,150

Filing Date November 17, 2003

First Named Inventor Lilip Lau

Art Unit Unasigned

Examiner Name Unassigned

Attorney Docket Number

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Substitu	ate for form 1449A/PTO		Application Number	10/715,150		
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			First Named Inventor	. Lilip Lau ·		
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Examiner Initials*	Cite No ¹	Number Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Wher Relevant Passages or Relevan Figures Appear
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Substitu	Substitute for form 1449B/PTO			Complete if Known		
	NIDODNA A MYON		COL OCUME	Application Number	10/715,150	
	NFORMATION			Filing Date	November 17, 2003	
8	STATEMENT B	YA	APPLICANT	First Named Inventor	Lilip Lau	
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Substiti	tute for form 1449	в/РТО		Application Number	10/715,150	
,	INFORMA	TION DI	SCI OSIIDE	Filing Date	November 17, 2003	
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT			First Named Inventor	Lilip Lau	
1	SIAIEWIE	NIBYA	PPLICANI	Group Art Unit	Unassigned	
	(use as many sheets as necessary)			Examiner Name	Unassigned	
Sheet	11	of	14	Attorney Docket Number	PARCR 67359	

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Examiner Initials*	Cite No ¹ .	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
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Complete if Known Substitute for form 1449B/PTO 10/715,150 Application Number November 17, 2003 Filing Date INFORMATION DISCLOSURE Lilip Lau First Named Inventor STATEMENT BY APPLICANT Group Art Unit Unassigned Unassigned **Examiner Name** (use as many sheets as necessary) Sheet 12 of | 14 PARCR 67359 Attorney Docket Number OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, Examiner Cite T2 magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or Initials* No1. country where published. Power, J.M., et al., Passive Ventricular Constraint Amends the Course of Heart Failure: A Study in an Ovine Model of Dilated Cardiomyopathy, Cardiovascular Research, Vol. 44, pp. 549-555, 1999 Frazier, O.H., M.D., et al., Lest Ventricular Assist System as a Bridge to Myocardial Recovery, Annals of Thoracic Surgery, Vol. 68, pp. 734-741, 1999 Melvin, David B., Ventricular Radium Reduction Without Resection: A Computational Analysis, ASAIO Journal, pp. 160-165, 1999 ABSTRACTS - Heart Failure, JACC February 1999 Raman, Jai S., FRACS, et al., Ventricular Containment as an Adjunctive Procedure in Ischemic Cardiomyopathy: Early Results, Annals of Thoracic Surgery, Vol. 70, pp. 1124-1126, January 2000 McCarthy, Patrick M., et al., Device Based Left Ventricular Shape Change Immediately Reduces Left Ventricular Volume and Increases Ejection Fraction in a Pacing Induced Cardiomyopathy Model in Dogs, JACC, Feb. 2000 Chaudhry, Pervaiz A., M.D., et al., Passive Epicardial Containment Prevents Ventricular Remodeling in Heart Failure, Annals of Thoracic Surgeons, Vol. 70, pp. 1275-1280, 2000 Acom Cardiovascular, Inc., CSD Specifications Acom Cardiac Support Device, 2000 Heart "jacket" could help stop heart failure progression, Clinicia, No. 916, July 2000 Acorn Cardiovascular, Inc., CorCapTM Cardiac Support Device Pamphlet, June 2001 Medironic's InSync Cardiac Resynchronization Therapy Device Approved by FDA, (Press Release) August 28, 2001 Acom Cardiovascular, Inc., Acom Highlights: ESC, Schedule, September 2001 Oz, Mehmet C., M.D., Passive Ventricular Constraint for the Treatment of Congestive Heart Failure, Annals of Thoracic Surgery, Vol. 71, pp. 5185-5187, 2001 Abstract Supplement, European Heart Journal, Vol. 22, September 2001 Gorman, J., Self-Sutures: New Material Knots Up On Its Own, Science News, Vol. 161, p. 262, April 27, 2002 Mann, Douglas L., M.D., Basic Mechanisms of Remodeling and Reverse Remodeling, presented at 6th Annual Scientific Meeting of the Heart Failure Society of America, September 24, 2002 Bocchi, Edimar a., M.D., Arrhythmias and Sudden Death After Dynamic Cardiomyoplasty, Circulation, Vol. 90, No. 5, Part 2, pp. 11-107 thru 11-111, November 1994 Chachques, Juan C., M.D., Study of Muscular and Ventricular Function in Dynamic Cardiomyoplasty: A Ten-Year Follow-Up, The Journal of Heart and Lung Transplantation, Vol. 16, No. 8, pp. 854-868, August 1997 Dullum, Mercedes K.C., M.D., et al., Less Invasive Surgical Management of Heart Failure by Cardiac Support Device Implantation on the Beating Heart. The Heart Surgery Forum, #2001-1818, pp. 361-363, January 4-7, 2001 Macris, Michael P. M.D., et al., Minimally Invasive Access of the Normal Preicardium: Initial Clinical Experience with a Novel Device, Clinical Cardiology, Vol. 22 (Suppl. 1), pp. 1-36 thru 1-39, 1999

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		PCT	WO 00/16700		03/30/00	cor, Inc.		
		PCT	WO 00/18320		04/06/00	U of Cincinnati		
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		PCT	WO 00/28918		05/25/00	Acolurdiovascular		
		PCT	WO 00/42919		07/25/00	Buckt et al	† · · · · · · · · · · · · · · · · · · ·	
		PCT	WO 00/45735		08/10/00	Acom C ovascular	<u> </u>	
		PCT	WO 00/48795		08/24/00	Richebet		

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Sheet 6 (use as many sheets as necessary)

Complete if Known				
Application Number 10/715,150				
Filing Date	November 17, 2003			
First Named Inventor	Lilip Lau			
Art Unit	Unassigned			
Examiner Name	Unassigned			
Attorney Docket Number	PARCR 67359			

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Complete if Known Substitute for form 1449A/PTO 10/715,150 Application Number November 17, 2003 Filing Date INFORMATION DISCLOSURE Lilip Lau First Named Inventor **FATEMENT BY APPLICANT** Art Unit Unassigned Unassigned **Examiner Name** (use as many sheets as necessary) Sheet of 14 **PARCR 67359 Attorney Docket Number**

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		PCT	WO 03/026484		04/03/03	Foundry, Inc.		

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Sheet of 14	Attorney Docket Number	PARCR 67359

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPE Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. plicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 90. d. 3 Enter Office that issued the document, by the two-letter code (WIPO, Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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I ORMATION DISCLOSURE	Filing Date	November 17, 2003
ST TEMENT BY APPLICANT	First Named Inventor	Lilip Lau
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Sheet 9 of 14	Attorney Docket Number	PARCR 67359

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Substitute for form 1449B/PTO	C	omplete if Known
I ORMATION DISCLOSURE	Application Number	10/715,150
	Filing Date	November 17, 2003
ST TEMENT BY APPLICANT	First Named Inventor	Lilip Lau
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	Examiner Name	Unassigned
Sheet 10 of 14	Attorney Docket Number	PARCR 67359

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Examiner Initials*	Cite No ¹ .	Inc. te name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, mage tine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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	PCT	WO 99/30647		06/24/99	Myocor, Inc.	
	PCT	WO 99/44534		09/10/99	Melvin	
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	<u> </u>	<u> </u>	1	!	Date	
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•EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citating if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation de ignation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO, Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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Substitute or form 1449A/PTO	Complete if Known		
Substitute 1 total 1449/OF TO	Application Number	10/715,150	
INFORMATION DISCLOSURE	Filing Date	November 17, 2003	
STATE MENT BY APPLICANT	First Named Inventor	Lilip Lau	
STATE IENT BY AFFLICANT	Art Unit	Unassigned	
(use a many sheets as necessary)	Examiner Name	Unassigned	
Sheet 5 of 14	Attorney Docket Number	PARCR 67359	

	·		U.S.	PATENT DOCUMEN	(TS	
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		PCT	WO 00/06028		02/10/00	Monier, et al.		_
		PCT	WO 00/13722		03/16/00	Sci M Life Systems	<u> </u>	t
		PCT	WO 00/16700		03/30/00	Myoct Inc.	 	
		PCT	WO 00/18320		04/06/00	Univ. o. Vincinnati	1	
		PCT	WO 00/28912		05/25/00	Acom Cariovascular	 	_
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Signature	Considered	

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for use through 07/31/2003 OMB 0651-0031
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Complete if Known Substitute for form 9A/PTO 10/715,150 Application Number Filing Date November 17, 2003 INFORMATION DISCLOSURE First Named Inventor Lilip Lau STATEMEN BY APPLICANT Art Unit Unassigned Unassigned **Examiner Name** (use as many ts as necessary) Sheet 7 14 **PARCR 67359** Attorney Docket Number

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		PCT	WO 03/026483		04/03/03	The Foundry, I		\vdash
		PCT	WO 03/026485		04/03/03	The Foundry, Inc.		
		PCT	WO 03/026484		04/03/03	The Foundry, Inc.	<u> </u>	\vdash

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Substitute for h in 1449A/PTO	C	omplete if Known
Substitute for the IT 1449APP TO	Application Number	10/715,150
INFOR ATION DISCLOSURE	Filing Date	November 17, 2003
STATEM ANT BY APPLICANT	First Named Inventor	Lilip Lau
STATEMENT BY ATTECANY	Art Unit	Unasigned
(use as many sheets as necessary)	Examiner Name	Unassigned
Sheet 8 of 14	Attorney Docket Number	PARCR 67359

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609 raw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. int's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04 Enter Office that issued the document, by the two-letter code (WIPO, Standard ST.3). For Japanese patent documents, the indication of the must precede the serial number of the patent document. Kind of document by the appropriate symbols as indu WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translotion is attach of the reign of the Emperor ed on the document under

Substitute for form 144	C	omplete if Known
Substitute for form 1449, PTO	Application Number	10/715,150
INFORMATION DISCLOSURE	Filing Date	November 17, 2003
STATEMENT Y APPLICANT	First Named Inventor	Lilip Lau
STATEMENT ATTEICANT	Art Unit	Unasigned
(use as many sheet as necessary)	Examiner Name	Unassigned
Sheet 9 6 14	Attorney Docket Number	PARCR 67359

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Examiner Initials*	Cite No ¹	Document Number	mber Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, When Relevant Passages or Relevan Figures Appear
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Substitu	ate for form 144	19B/PT	· C	Complete if Known		
7	NEODAG	TION DISCUSOR	Application Number	10/715,150		
		ATIONDISCLOSURI	T Filing Date	November 17, 2003		
2	STATEM	ENT BY APPLICANT	First Named Inventor	Lilip Lau		
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First Named Inventor	Lilip Lau	_
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		WHARTON, J. Marcus, et al., Electrophysiological Effects of Monophasic and Biphasic Stimuli in Normal and Infarcted Dogs, PASE, Vol. 13, pp. 1158-1172, September 1990	
		SHABETAI, Ralph, The Role of the Pericardium in the Pathophysiology of Heart Failure, Congestive Heart Failure, Second Edition, Chapter 9 pp. 157-187, 2000	

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or PEP 901.04. Inter Office that issued the document, by the two-letter code (WIPO, Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Nind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Trantation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including pathering programs and submitting the completed application form to the USPTO. Time will

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby cert by that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an avelope addressed to: Commissioner for Patents, P.O. Box 1450, lexandria, VA 22313-1450, on October 29, 2004.

John S. Nagy, Reg. No. 3 664

IN THE INITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

: 0/715,150

Applicants

: Dip Lau, Joshua Wallin

Filed

Notember 17, 2003

Art Unit Examiner

: Unassigned: Unassigned

Title

CARD AC HARNESS DELIVERY DEVICE AND METHOD

Docket No.:

PARCR 359

Customer No.

24201

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

SECOND SUPPLEMENTAL INFORM TION DISCLOSURE STATEMENT Dear Sir:

The documents listed on the enclosed Form PTO/SB/08A have come to the attention of Applicants and are submitted to the Office under 37 C.F.R. § 1.97.

The cited documents were brought to the Applicants' attention in a Search Report for an international application pending under the Patent Cooperation Treaty which asserts priority to U.S. Serial No. 60/427,079 (now expired) which Search Report was communicated on September 15, 2004, not more than three manths ago.

The references cited on the Form PTO/SB/O8a may not constitute prior art to the subject matter of the invention claimed in the pending application. The documents therefore are disclosed here in an abundance of caution, in light of 37 C.F.R. § 1.56.

Applicants believe that this Information Disclosure Statement has been filed before the mailing date of a first Office action on the merits in accordance with 37 C.F.R. § 1.97(b)(3) and therefore no fee is due. However, if a fee is in fact due the Commissioner is authorized to charge our Deposit Account No. 06-2425. A duplicate of this paper is enclosed.

Respectfully submitted,

FILWIDER PATTON LEE & UTECHT, LLP

By:

John S Nagy

Registration No. 30,664

JSN:jeb Enclosures

Howard Hughes Center 6060 Center Drive, Tenth Floor Los Angeles, CA 90045 Telephone: (310) 824-5555 Facsimile: (310) 824-9696 Customer No. 24201

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07/31/2003 OMR 0651-0031

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INFORM TION DISCLOSURE	Filing Date	November 17, 2003
STATEM NT REAPPLICANT	First Named Inventor	Lilip Lau
STATEM VT B PPLICANT	Art Unit	Unassigned
(use as many sheets as necessary)	Examiner Name	Unassigned
Sheet 1 of 1	Attorney Docket Number	PARCR 67359

			U.S.	PATENT DOCUMEN	TS	
Examiner Initials*	Cite No ¹	Number	Number Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,333,624		08/02/94	Tovey	
		2002/028981		03/07/02	Lau ct al.	
		2002/0077637		06/20/02	Vargas et al.	
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ohn S. Nagy, 1g. No. 30,664

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

: 10/715,150

Applicants

: Lilip Lau, Joshua Wallin

Filed

November 17, 2003

Art Unit

3736

Examiner

: Unassigned

Title

ARDIAC HARNESS DELIVERY DEVICE AND METHOD

Docket No.:

PA CR 67359

Customer No.

242

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Dear Sir:

The documents listed on the enclose Form PTO/SB/08a have come to the attention of Applicants and are submitted to the Office under 37 C.F.R. § 1.97. A copy of each of the cited articles is enclosed.

Pursuant to 37 C.F.R. § 1.97(e)(2), no item of ntained in the Information

Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, no item of

information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Statement, therefore no fee is due. However, if a fee is in fact due, please charge our Deposit Account No. 06-2425. A duplicate of this paper is enclosed.

The references ched on the Form PTO/SB/O8a may not constitute prior art to the subject matter of the invention claimed in the pending application. The documents therefore are disclosed here in an abundance of caution, in light of 37 C.F.R. § 1.56.

Respectfully submitted,

NULWIDER PATTON LEE & UTECHT, LLP

By:

John S Nagy

Registration No. 30,664

JSN:jeb Enclosures

Howard Hughes Center 6060 Center Drive, Tenth Floor Los Angeles, CA 90045 Telephone: (310) 824-5555 Facsimile: (310) 824-9696 Customer No. 24201

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d for use through 07/31/2003 OMB 0651-0031 U.S. Patent and Traderns ice: U.S. DEPARTMENT OF COMMERCE possons are required to respond to a collection of informat unless it contains a valid OMB control number Complete if Known Substitute for form Application Number 10/715,150 November 17, 2003 Filing Date INFORM First Named Inventor Lilip Lau **STATEM** Art Unit 3736 Unassigned Examiner Name Sheet of **PARCR 67359** Attorney Docket Number

			U.S.	PATENT DOCUMEN	(TS	
Examiner Initials*	Cite No ¹	Number	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		6,881,185		04/19/2005	Vanden Hoek et al.	
		6,887,192		05/03/2005	Whayne et al.	
		6,893,392		05/17/2005	Alferness	
		6,896,652		05/24/2005	Alferness et al.	
	ļ	6,902,522		06/07/2005	Walsh et al.	
		6,902,524		06/07/2005	Alferness et al.	
	ļ	6,908,426		06/21/2005	Shapland et al.	
		2005/0059854		03/17/2005	Hock et al.	
		2005/0085688		04/21/2005	Girard et al.	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which

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Substitute for form NOB/PTO	Complete if Known		
Substitute for form 1 38/F 10	Application Number	10/715,150	
INFORMATION DISCLOSURE	Filing Date	November 17, 2003	
STATEMENT BY APPLICANT	First Named Inventor	Lilip Lau	
STATEMENT BY ATTEICANT	Group Art Unit	3736	
(use as many she is as necessary)	Examiner Name	Unassigned	
Sheet 2	Attorney Docket Number	PARCR 67359	

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Examiner Initials*	Cite No ¹ .	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, a rial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	Τ²
		Zhou, Xiaohong, et al., Bricardial Mapping of Ventricular Defibrillation With Monophasic and Biphasic Shocks in Dogs, Circulation Research Vol. 72, No. 1, pp. 145-160, January 1993	
		Shorofsky, Stephen R., et al., Comparison of Step-Down and Binary Search Algorithms for Determination of Defibrillation Threshold in Humans, PACE, Vol. 27, pp. 218-220, February 2004	
		Gold, Michael R., M.D., et al., Comparison of Single- and Dual-Coil Active Pectoral Defibrillation Lead Systems, Journal of the American College of C. tdiology, Vol. 31, No. 6, pp. 1391-1394, May 1998	
		Rinaldi, C. Aldo, A Randomized Prospective Study of Single Coil Versus Dual Coil Defibrillation in Patients With Ventricular Arrhythmias Undergoing Implitable Cardioverter Defibrillator Therapy, PACE, Vol. 26, pp. 1684 1690, August 2003	
		Schwartzman, David, M.D., et al., Serial Defib illation Lead Impedance in Patients with Epicardial and Nonthoracotomy Lead Systems, Journal of Cara vascular Electrophysiology, Vol. 7, No. 8, pp. 697-703, August 1996	
		Sandstedt, Bengt, et al., Bidirectional Defibrillation Vising Implantable Defibrillators: A Prospective Randomized Comparison Between Pectoral and Abdominal Active Generators, PACE, Vol. 24, Part I, pp. 1343-1353, September 2001	
\.		Schulte, B., et al., Dual-Coil vs. Single-Coil Active Pecio al Implantable Defibrillator Lead Systems: Defibrillation Lead Requirements and Probability of Defibrillation Success at Multiples of the Defibrillation Energy Requirements, Europace, Vol. 3, pp. 177-180, July 2001	

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Nagy, Reg. No. 30,6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

: 10/7 5,150

Applicants

Lilip Lau, Joshua Wallin

Filed

November 17, 2003

Art Unit Examiner

Unassigned Unassigned

Title

CARDIAC HARNESS DELIVERY DEVICE AND METHOD

Docket No.:

PARCR 673 9

Customer No.

24201

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

The documents listed on the enclosed Form PTO/SB/08A have come to the attention of Applicants and are submitted to the Office under 37 C.F.R. § 1.97. A copy of each of the listed foreign documents and articles is enclosed.

Applicants believe that this Information Disclosure Statement has been filed before the mailing date of a first Office action on the merits in accordance with 37 C.F.R. § 1.97(b)(3) and therefore, no fee is due. However, if a fee is in fact due the

Commissions is authorized to charge our Deposit Account No. 06-2425. A duplicate of this paper is enclosed.

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

By:

John S. Nagy

Registration No. 30,664

JSN:jeb

Howard Hughes Center 6060 Center Drive, Tenth Floor Los Angeles, CA 90045 Telephone: (310) 824-5555

Facsimile: (310) 824-9696

Customer No. 24201

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INFORMATION DISCLOSURE STATEMENT BY APPLICATED

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Application Number	10/715,150	_
Filing Date	November 17, 2003	_
First Named Inventor	Lilip Lau	_
Art Unit	Unassigned .	
Examiner Name	Unassigned	
Attorney Docket Number	PARCR 67359	_

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		2,826,193		03/11/58	Vineberg	
	<u> </u>	3,464,322		09/02/69	Pequignot	
		3,513,836		05/26/70	Sausse	
		3,587,567		06/28/71	Schiff	
		3,613,672		10/19/71	Schiff	
		3,966,401		06/29/76	Hancock et al.	· · · · · · · · · · · · · · · · · · ·
		3,983,863		10/05/76	Janke et al.	
		3,988,782		11/02/76	Dardik et al.	
		4,011,947		03/15/77	Sawyer	<u> </u>
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		4,065,816		01/03/78	Sawyer	
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		4,192,293		03/11/80	Asrican	
		4,211,325		07/08/80	Wright	
		4,261,342		04/14/81	Aranguren Duo	<u> </u>
		4,306,318		12/22/81	Mano et al.	·
		4,372,293		02/08/83	Vijil-Rosales	
		4,403,604		09/13/83	Wilkinson et al.	
		4,428,375		21/31/84	Eliman	
		4,512,471		0 /23/85	Kaster et al.	
		4,536,893		08. 7/85	Parravicini	·
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U.S. Patent and Tradema Under the Paperwork Reduction Act u. 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

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Signature

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Considered

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Substitute for form 1449A/PTO	Complete if Known		
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INFO MATION DISCLOSURE	Filing Date	November 17, 2003	
STAT MENT BY APPLICANT	First Named Inventor	Lilip Lau	
STATEMENT DI AFFLICANT	Art Unit	Unassigned	
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Sheet 3 of 14	Attorney Docket Number	PARCR 67359 ·	

			U.S	. PATENT DOCUMEN	VTS	
Examiner Initials*	Cite No'	Yumber	nt Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		,		TOREIG	N PATENT DOCU	MENTS		
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Initials*	No'	Office ³	Number ⁴	Kin (if know	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	I,
		PCT	WO 96/40356		12/19/96	EP Technologies, Inc.		
		PCT	WO 97/20505		26/12/97	Loma Linda University	 	
		PCT	WO 97/24101		0 (10/97	Melvin		
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		PCT	WO 98/03213		01/29 98	Heartport, Inc.	<u> </u>	<u> </u>
		PCT	WO 98/14136		04/09/9	Acom Medical, Inc.		
		PCT	WO 98/26738		06/25/98	Hearten Medical, Inc.	 	\vdash
		PCT	WO 98/29041		07/09/98	Myocor, Inc.	 	
		PCT	WO 99/11201		03/11/99	Endocore, Inc.		\vdash

Examiner	·		Date	
			Date	
Signature		1	Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 29. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Inter Office that issued the document, by the two-letter code (WIPO, Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under wide Standard ST. 16 if possible Applicant is to alone a check most beautiful learning Translation is attached. WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 149A/PTO	Complete if Known		
Substitute for fort	Application Number	10/715,150	
INFOR ATION DISCLOSURE	Filing Date	November 17, 2003	
STATE ENT BY APPLICANT	First Named Inventor	Lilip Lau	
STATE ENT BY ATTEICANT	Art Unit	Unassigned	
(use many sheets as necessary)	Examiner Name	Unassigned	
Sheet 4 of 14	Attorney Docket Number	PARCR 67359	

			U.S	. PATENT DOCUMEN	VTS	
Examiner Initials*	Cite No ¹	inber	nt Number Kind Code ^x (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, When Relevant Passages or Relevant Figures Appear
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		5 9,584		07/04/95	Chiu	
		5, 0,962		10/24/95	Kemp	
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		EP	0 919 193 A1		06/02/99	Ethico	n Endo-Surgery		
		PCT	WO 99/30647		06/24/99	Муосо			
		PCT	WO 99/44534		09/10/99	Melvin			
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		PCT	WO 99/53977		10/28/00	+	d Life Systems		\vdash
		PCT	WO 99/56655		11/11/99		tore, Inc.		
		PCT	WO 00/02500		/20/00		Cardiovascular	-	
		PCT	WO 00/06026		02 0/00	Муосо			
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ice: U.S. DEPARTMENT OF COMMERCE

Substi	for form 1449A/PTO	C	omplete if Known
Substitute	101 101111 1449/0710	Application Number	10/715,150
	FORMATION DISCLOSURE	Filing Date	November 17, 2003
TATEMENT BY APPLICANT		First Named Inventor	Lilip Lau
4	ALEMENT BI AFFLICANT	Art Unit	Unassigned
	(use as many sheets as necessary)	Examiner Name	Unassigned
Sheet	of 14	Attorney Docket Number	PARCR 67359

	-		U.S	. PATENT DOCUMEN	NTS	
Examiner Initials*	Cite o'	Number	ent Number Kind Code ² (if known)	· Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, When Relevant Passages or Relevan Figures Appear
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	No'	Office)	Number ⁴	Kind ³ (known)		Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T
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		PCT	WO 00/18320		04/06/00	Univ. of Cincinnati		
	L	PCT	WO 00/28912		05/25/00	Acom Cardiovascular	1	-
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		PCT	WO 00/42919		07/25/00	Buckberg et al.		
		PCT	WO 00/45735		8/10/00	Acom Cardiovascular		·
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Examiner	Date
Signature	Considered

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Cubetion	Substitute or form 1449A/PTO		Complete if Known		
Substitute of Ionit 1449AVPTO		01111 1449AVP 10	Application Number	10/715,150	
n	NHO	RMATION DISCLOSURE	Filing Date	November 17, 2003	
4	STATEMENT BY APPLICANT		First Named Inventor	Lilip Lau	
		EMENT DI ATTLICANT	Art Unit	Unassigned	
		(use as many sheets as necessary)	Examiner Name	Unassigned	
Sheet	6	of 14	Attorney Docket Number	PARCR 67359	

			U.S	PATENT DOCUMEN	(TS	
Examiner Initials*	Cite No ¹	Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
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		6,085,754		07/11/00	Alferness et al.	· · · · · · · · · · · · · · · · · · ·
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Examiner Cite	Cite	Foreign Patent Document			Publication Date	Name of Patentee or	Pages, Columns, Lines	
Initials*	No ¹	Office	Number Kind MM-DD-YY		MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	Т*
		PCT	WO 00/62727	1	2/26/00	Hearten Medical		-
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		PCT	WO 01/21098		03/2	Acorn Cardiovascular		
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		PCT	WO 01/91667		12/06/01	Cardioclasp, Inc.	<u> </u>	1
		PCT	WO 01/95830		12/20/01	Acorn Cardiovascular	1	\vdash

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Subatio	Substitute or form 1449A/PTO		Complete if Known			
Subsut			Application Number	10/715,150		
1	NOR	MATION D	ISCLOSURE	Filing Date	November 17, 2003	
			APPLICANT	First Named Inventor	Lilip Lau	
,		MATERIAL DI A	AFFLICANI	Art Unit	Unassigned	
		se as many sheets as	necessary)	Examiner Name	Unassigned	
Sheet	7	of	14	Attorney Docket Number	PARCR 67359	

			U.S	. PATENT DOCUMEN	NTS	<u> </u>
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	l	6,287,250		09/11/01	Peng et al.	
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Examiner Initials*	Cite	Foreign Patent Document			Publication Date	Name of Patentee or	Pages, Columns, Lines	
	No	Office	Number ⁴	(if land a)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	14
		PCT	WO 01/95831		12/20/01	Acom Cardiovascular		
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		PCT	WO 02/19917		/14/02	Converge Medical		
		PCT	WO 02/059007		08 1/02	SnapWare Corp.		\vdash
		PCT	WO 03/026483		04/0 03	The Foundry, Inc.		
		PCT	WO 03/026485		04/03. 3	The Foundry, Inc.		
		PCT	WO 03/026484		04/03/0	The Foundry, Inc.		

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Substitute Control 1440 4 mm	Complete if Known			
Substitute follorm 1449A/PTO	Application Number	10/715,150		
INFORMATION DISCLOSURE	Filing Date	November 17, 2003		
STAT MENT BY APPLICANT	First Named Inventor	Lilip Lau		
STATEMENT DI AFFLICANI	Art Unit	Unasigned		
(ue as many sheets as necessary)	Examiner Name	Unassigned		
Sheet 8 of 14	Attorney Docket Number	PARCR 67359		

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Examiner Initials*	Cite No ¹	Docume Number	nt Number Kind Code ² (if known)	- Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, When Relevant Passages or Relevan Figures Appear	
·		7,375,608		04/23/02	Alferness		
		6,390,976		05/21/02	Spence et al.		
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		,416,459		07/09/02	Haindl		
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		6,5 9,082		05/27/03	Chin		
	l	6,5 2,533		06/03/03	Shapland et al.		
		6,57 921		06/10/03	Vanden Hoek et al.		
		6,58, 355		06/24/03	Alferness et al.		
		6,587 34		07/01/03	Okuzumi	·	
		6,595, 2		07/22/03	Lau et al.		
		6,602,1		08/05/03	Lau et al.		
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		6,685,627		02/03/04	Jayaraman		
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Signature	Considered	

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Substitute for form 1449A/PTO	Complete if Known			
Subtract for form [449A)PTO	Application Number	10/715,150		
INFORMATION DISCLOSURE	Filing Date	November 17, 2003		
STATEMENT BY APPLICANT	First Named Inventor	Lilip Lau		
STATEMENT BY AFFLICANT	Art Unit	Unasigned		
(use as many sheets as necessary)	Examiner Name	Unassigned		
Sheet 9 of 14	Attorney Docket Number	PARCR 67359		

			U.S.	PATENT DOCUMEN	STS	
Examiner Initials*	Cite No'	Document	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	<u> </u>	2 0293134		10/11/01	Alferness et al.	
:		20 47122		11/29/01	Vanden Hoek et al.	
		200. 7216		01/17/02	Melvin	
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